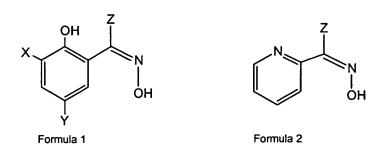
<u>Amendments to the Claims:</u> This listing of claims will replace all prior versions, and listings, of claims in the application

## **Listing of Claims:**

- (Currently Amended) A process for the preparation of a polymer comprising the step of
  performing a ring-opening polymerisation reaction of at least one lactone, lactam, cyclic
  ether, cyclic carbonate, cyclic carbamate, lactide, or other cyclic compound which is
  susceptible to ring-opening polymerisation, in the presence of a catalyst which comprises
  the reaction product of
  - (i) at least one compound of titanium, zirconium or hafnium which is selected from the group consisting of an alkoxide, halide, condensed alkoxide, amide, condensed amide, mixed halo-alkoxide or, mixed halo-amide, sulphonic acid derivative, sulphonamide, silanol or and silylamide of titanium zirconium, hafnium or aluminium or a mixture thereof,

and

- (ii) a complexing compound selected from the list comprising the group consisting of oximes, hydroxy-Schiff bases, 8-hydroxyquinoline derivatives, 10-hydroxybenzo-[h]-quinoline derivatives, hydrazones and substituted phenols, phenol and phenol substituted with a hydroxy, hydroxyalkyl, amino, amioalkyl, nitro, oxazole, thiazole, alkyl, or alkoxy group.
- (Currently Amended) A process-as claimed in according to claim 1, wherein the
  complexing compound is an aryl-substituted (including polycyclic aryl-) (aromatic or
  heterocyclic) oxime of Formula 1 or Formula 2,



in which X and Y, which may be the same or different, are selected from H, alkyl  $(preferablyC_1 - C_6 - alkyl, e.g. t - butyl)$ , alkoxy,  $NO_2$ , halogen, amino (including alkylamino) and Z is selected from H, or an alkyl aryl or pyridyl group, any of which may be substituted or unsubstituted.

3. (Currently Amended) A process as claimed in according to claim 1, wherein the complexing compound is a hydroxy-Schiff base of general Formula 3 or 3a,

where X and Y are selected from H, alkyl-(preferably $C_1$  –  $C_6$  alkyl, e.g. t-butyl), alkoxy, NO<sub>2</sub>, halogen, amino (including alkylamino) and R is substituted or unsubstituted alkyl, including cycloalkyl, aryl, aryloxy, alkoxy, or a polycylic group such as quinolyl.

- 4. (Currently Amended) A process as claimed in according to claim 3 wherein the hydroxy Schiff base is a dimeric or trimeric Schiff base, in which R in Formula 3 or 3a comprises a linking group which is linked to a second or third Schiff base moiety and said linking group contains between 1 and 6 atoms which comprise one or more of C, N and O.
- 5. (Currently Amended) A process as claimed in according to claim 1, wherein the complexing compound is a 8-hydroxyquinoline derivative of the general formula 4:

Formula 4

where X' and Y' are, independently H, halogen,  $NO_2$ , alkyl or alkenyl and Z' is alkyl.

6. (Currently Amended) A process—as claimed in according to claim 1, wherein the complexing compound is a 10-hydroxybenzo-[h]-quinoline derivative of the general formula 5.

Formula 5

- 7. (Currently Amended) A process-as claimed in according to claim 1, wherein the complexing compound is an aromatic hydrazone, which may be unsubstituted or substituted at either the aromatic ring or the N atom.
- 8. (Canceled)
- 9. (Currently Amended) A catalyst for the ring opening polymerisation of a lactone, lactam, cyclic ether, cyclic carbonate, cyclic carbamate, lactide, or other cyclic compound which is susceptible to ring-opening polymerisation comprising the reaction product of
  - (i) an at least one compound of titanium, zirconium or hafnium which is selected from the group consisting of an alkoxide, halide, condensed alkoxide, amide, condensed amide, mixed halo-alkoxide or, mixed halo-amide, sulphonic acid derivative, sulphonamide, silanol or and silylamide of titanium zirconium, hafnium or a mixture thereof,

and

- (ii) a complexing compound selected from the list comprising oximes, hydroxy-Schiff bases, 8-hydroxyquinoline derivatives, 10-hydroxybenzo-[h]-quinoline derivatives, and substituted phenols hydrazones, phenol and phenol substituted with a hydroxy, hydroxyalklyl, amino, amioalkyl, nitro, oxazole, thiazole, alkyl, substituted alkyl, or alkoxy group.
- 10. (Currently Amended) A polymerisable mixture comprising at least one lactone, lactam, cyclic ether, cyclic carbonate, cyclic carbamate, lactide, or other cyclic compound which is susceptible to ring-opening polymerisation, and a catalyst comprising comprising the reaction product of
  - (i) at least one compound of titanium, zirconium or hafnium which is selected from the group consisting of an alkoxide, halide, condensed alkoxide, amide, condensed

amide, mixed halo-alkoxide or, mixed halo-amide, sulphonic acid derivative, sulphonamide, silanol or and silylamide of titanium zirconium, hafnium or aluminium or a mixture thereof,

and

- (ii) a complexing compound selected from the list comprising oximes, hydroxy-Schiff bases, 8-hydroxyquinoline derivatives, 10-hydroxybenzo-[h]-quinoline derivatives, and substituted phenols-hydrazones, phenol and phenol substituted with a hydroxy, hydroxyalklyl, amino, amioalkyl, nitro, oxazole, thiazole, alkyl, substituted alkyl, or alkoxy group.
- 11. (New) A catalyst according to claim 9, wherein the complexing compound is an aryl-substituted (including polycyclic aryl-) (aromatic or heterocyclic) oxime of Formula 1 or Formula 2,

in which X and Y, which may be the same or different, are selected from H, alkyl, alkoxy,  $NO_2$ , halogen, amino (including alkylamino) and Z is selected from H, or an alkyl aryl or pyridyl group, any of which may be substituted or unsubstituted.

12. (New) A catalyst according to claim 9, wherein the complexing compound is a hydroxy-Schiff base of general Formula 3 or 3a,

where X and Y are selected from H, alkyl, alkoxy, NO<sub>2</sub>, halogen, amino (including alkylamino) and R is substituted or unsubstituted alkyl, including cycloalkyl, aryl, aryloxy, alkoxy, or a polycylic group such as quinolyl.

- 13. (New) A catalyst according to claim 12 wherein the hydroxy Schiff base is a dimeric or trimeric Schiff base, in which R in Formula 3 or 3a comprises a linking group which is linked to a second or third Schiff base moiety and said linking group contains between 1 and 6 atoms which comprise one or more of C, N and O.
- 14. (New) A catalyst according to claim 9, wherein the complexing compound is a 8-hydroxyquinoline derivative of the general formula 4:

Formula 4

where X' and Y' are, independently H, halogen, NO2, alkyl or alkenyl and Z' is alkyl.

15. (New) A catalyst according to claim 9, wherein the complexing compound is a 10-hydroxybenzo-[h]-quinoline derivative of the general formula 5.

Formula 5

- 16. (New) A catalyst according to claim 9, wherein the complexing compound is an aromatic hydrazone, which may be unsubstituted or substituted at either the aromatic ring or the N atom.
- 17. (New) A polymerisable mixture according to claim 10, wherein the complexing compound is an aryl-substituted (including polycyclic aryl-) (aromatic or heterocyclic) oxime of Formula 1 or Formula 2,

in which X and Y, which may be the same or different, are selected from H, alkyl, alkoxy,  $NO_2$ , halogen, amino (including alkylamino) and Z is selected from H, or an alkyl aryl or pyridyl group, any of which may be substituted or unsubstituted.

18. (New) A polymerisable mixture according to claim 10, wherein the complexing compound is a hydroxy-Schiff base of general Formula 3 or 3a,

where X and Y are selected from H, alkyl, alkoxy, NO<sub>2</sub>, halogen, amino (including alkylamino) and R is substituted or unsubstituted alkyl, including cycloalkyl, aryl, aryloxy, alkoxy, or a polycylic group such as quinolyl.

- 19. (New) A polymerisable mixture according to claim 18, wherein the hydroxy Schiff base is a dimeric or trimeric Schiff base, in which R in Formula 3 or 3a comprises a linking group which is linked to a second or third Schiff base moiety and said linking group contains between 1 and 6 atoms which comprise one or more of C, N and O.
- 20. (New) A polymerisable mixture according to claim 10, wherein the complexing compound is a 8-hydroxyquinoline derivative of the general formula 4:

Formula 4

where X' and Y' are, independently H, halogen, NO<sub>2</sub>, alkyl or alkenyl and Z' is alkyl.

21. (New) A polymerisable mixture according to claim 10, wherein the complexing compound is a 10-hydroxybenzo-[h]-quinoline derivative of the general formula 5.

Formula 5

- 22. (New) A polymerisable mixture according to claim 10, wherein the complexing compound is an aromatic hydrazone, which may be unsubstituted or substituted at either the aromatic ring or the N atom.
- 23. (New) A process according to claim 2, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.
- 24. (New) A process according to claim 3, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.
- 25. (New) A catalyst according to claim 11, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.
- 26. (New) A catalyst according to claim 12, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.
- 27. (New) A polymerisable mixture according to claim 17, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.
- 28. (New) A polymerisable mixture according to claim 18, wherein X and Y, which may be the same or different, are  $C_1$ - $C_6$  alkyls.